# A new kinetic description of the rheo-optical behaviour of polymer melts: 1. The predictions of the kinetic orientation model 

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A new kinetic orientation model for the description of the rheo-optical behaviour of polymer melts is presented. According to this model, constraints to deformation are introduced by specific changes in Gibbs free energy. The applicability of the model is demonstrated by using it to calculate the transient birefringence in model fluids occurring upon simple elongation with constant stretch rate and constant derivative of the Hencky strain. For both deformation histories, small and large strain rates are considered.
(Keywords: orientation model; flow birefringence; stress-optical coefficient; simple elongation; polymer melts)

## INTRODUCTION

It is often claimed that the streaming birefringence of polymer melts is directly proportional to the appropriate stress difference, if the stresses are below $1 \mathrm{MPa}^{1,2}$.

The constancy of the stress-optical coefficient, under equilibrium conditions, for small extensions of crosslinked rubbers (Gaussian networks) is derived from the statistical segment model ${ }^{3,4}$ according to which the tensile stress during simple elongation is given by:

$$
\begin{equation*}
\sigma=N k T\left(\lambda^{2}-\lambda^{-1}\right) \tag{1}
\end{equation*}
$$

and the simultaneously occurring birefringence by:

$$
\begin{equation*}
\Delta n=(2 \pi / 45)\left[\left(n_{0}^{2}+2\right)^{2} / n_{0}\right] N\left(\alpha_{S 1}-\alpha_{S 2}\right)\left(\lambda^{2}-\lambda^{-1}\right) \tag{2}
\end{equation*}
$$

where $N$ is the number of chains between crosslinks per unit volume, $k$ the Boltzmann constant, $T$ the absolute temperature, $\lambda$ the extension ratio, $n_{0}$ the refractive index of the undeformed material, and ( $\alpha_{\mathrm{s} 1}-\alpha_{\mathrm{S} 2}$ ) the polarizability anisotropy of one segment. Combining equations (1) and (2) gives the stress-optical coefficient $C=\Delta n / \sigma$ as a material-specific constant:

$$
\begin{equation*}
C=(2 \pi / 45 k T)\left[\left(n_{0}^{2}+2\right)^{2} / n_{0}\right]\left(\alpha_{\mathrm{S} 1}-\alpha_{\mathrm{S} 2}\right) \tag{3}
\end{equation*}
$$

Almost all subsequent treatments of birefringence in viscoelastic fluids ${ }^{5-7}$ culminate in a rederivation of the above expression for $C$. The essential idea here is to incorporate a mechanism that accounts for relaxation. However, some of the assumptions introduced previously have been retained, i.e. it is supposed that:
(i) the internal energy is not affected by deformation;
(ii) the orientating units are optically uniaxial; and
(iii) the material possesses a temporary network structure.

[^0]There is experimental ${ }^{8}$ and theoretical ${ }^{9,10}$ evidence that a polymer melt may not have a constant stressoptical coefficient. Within the conceptual framework of a simple fluid with fading memory, it has been shown ${ }^{9}$ that a simple linear relationship between the deviators of the stress and the refractive-index tensor can only be expected to hold for very slow motion, i.e. in the 'Newtonian' flow region.

This paper presents a microscopic model that describes the kinetics of orientation in polymer melts in which constraints on deformation are introduced by differences in Gibbs free energy. It should be emphasized that this model does not contain any of the assumptions mentioned above, and it is also not assumed that the deformation obeys an affine mechanism. To demonstrate the applicability of the model, it will be used to describe the transient birefringence $\Delta n(t)$ that occurs in model fluids upon simple elongation at constant stretch rate $\dot{\lambda}_{0}=\mathrm{d} \lambda / \mathrm{d} t=$ constant and at constant derivative of the Hencky strain $\dot{\varepsilon}_{0}=\mathrm{d}(\ln \lambda) / \mathrm{d} t=$ constant; for both deformation histories, small and large strain rates will be considered. In a further paper the predictions of the model will be evaluated experimentally.

## THE KINETIC ORIENTATION MODEL FOR POLYMER MELTS

In the following, deformations taking place under isochoric and isothermal conditions and at constant strain rates will be considered. A polymer melt is taken to be a tangle of three-dimensional macromolecules, each of which has a well defined configuration that is determined by chemical bond lengths and valence angles, and a certain flexibility arising from restricted rotation about the single bonds. It is assumed that the melt consists of a mixture of linear macromolecules that differ only in


Figure 1 The change in Gibbs free energy during rotation about the central bond of $\mathrm{R}^{\prime}-\mathrm{H}_{2} \mathrm{C}-\mathrm{CH}_{2}-\mathrm{R}^{\prime \prime} ; g, t$ and $\bar{g}$ designate the stable conformations, gauche ( $\phi=+60^{\circ}$ ), trans $\left(\phi=180^{\circ}\right)$ and gauche ( $\phi=-60^{\circ}$ )
chain length. If one such molecule contains $n$ chain atoms, then there are $(n-1)$ bonds along the chain and $(n-3)$ bonds with the potential of generating different conformations (rotations about the two terminal bonds do not produce additional conformers). The change in Gibbs free energy $G(\phi)$ during rotation about a $\mathrm{C}-\mathrm{C}$ bond is usually described by a three-well curve as shown in Figure 1. If only potentials of this type hold, then there are $3^{(n-3)}$ conformers for an n-alkane.

It should be emphasized that Figure 1 is based on the assumption that the $\mathrm{R}^{\prime}$ and $\mathrm{R}^{\prime \prime}$ groups both possess an all-trans conformation that can be represented as $t t t t t t t t . .$. If this is not the case, i.e. if there are twists (e.g. $\mathrm{R}^{\prime}=t t g t t t t t \ldots$ and $\mathrm{R}^{\prime \prime}=\bar{g} t t t t t \ldots$...), then an asymmetrical potential, as shown in Figure 2, is valid. As illustrated here, the two gauche states are no longer energetically equivalent, i.e. $G\left(+60^{\circ}\right) \neq G\left(-60^{\circ}\right)$. In the following treatment it will be assumed that those conformational changes that contribute substantially to the orientational behaviour of the melt take place at bonds having an asymmetric rotational potential (i.e. one conformer has a much higher energy than the other two).

A consideration of Figure 2 leads to the conclusion that, in this model, to first approximation, only the two states corresponding to $G_{i}\left(180^{\circ}\right)$ and $G_{i}\left(-60^{\circ}\right)$ are populated, whereas $G_{i}\left(+60^{\circ}\right)$ is a quasi-empty state. It is further assumed that there are $N$ conformational equilibria in the melt. As they concern different bonds, they will be treated as mutually independent subsystems. Under isothermal conditions the densities of the two populated states, $i_{d}$ and $i_{o}$, which participate in the $i$ th equilibrium, are thus:

$$
\begin{equation*}
p_{i}=p_{i d}+p_{i o}=\text { constant } \quad i=1, \ldots, N \tag{4}
\end{equation*}
$$

where the states $i_{d}$ and $i_{o}$ are each characterized by an appropriate rotational angle. The population density distribution in the $i$ th subsystem:

$$
\begin{equation*}
p_{i d} \rightleftarrows p_{i o} \tag{5}
\end{equation*}
$$

is determined by the difference in the free energies of
these two states:

$$
\begin{equation*}
G_{i o}-G_{i d}=-R T \ln \left(p_{i o} / p_{i d}\right) \tag{6}
\end{equation*}
$$

Between the states $i_{d}$ and $i_{o}$ there is a transition state $i^{*}$, which is characterized by a free-energy maximum:

$$
\begin{equation*}
G_{i^{*}}=H_{i^{*}}-T S_{i^{*}}=G_{i, \max } \tag{7}
\end{equation*}
$$

The rate constant for the process $i_{d} \rightarrow i_{o}$, which is given by transition-state theory ${ }^{11-13}$, is:

$$
\begin{equation*}
k_{i}=(k T / h) \exp \left[\left(S_{i^{*}}-S_{i d}\right) / R-\left(H_{i^{*}}-H_{i d}\right) / R T\right] \tag{8a}
\end{equation*}
$$

and for the reverse process:

$$
\begin{equation*}
\bar{k}_{i}=(k T / h) \exp \left[\left(S_{i^{*}}-S_{i o}\right) / R-\left(H_{i^{*}}-H_{i o}\right) / R T\right] \tag{8b}
\end{equation*}
$$

where $k, h$ and $R$ are the Boltzmann, Planck and gas constants, respectively, $T$ is the absolute temperature, $S$ the entropy and $H$ the enthalpy of the state designated. At equilibrium:

$$
\begin{equation*}
k_{i} p_{i d}^{o}=\bar{k}_{i} p_{i o}^{o} \tag{9}
\end{equation*}
$$

where $p_{i d}^{0}$ and $p_{i o}^{0}$ are the population densities in the undisturbed melt, and as:

$$
p_{i d}^{0}=p_{i}-p_{i o}^{0}
$$

we can write:

$$
\begin{equation*}
p_{i o}^{0}=p_{i} /\left[1+\left(\bar{k}_{i} / k_{i}\right)\right] \tag{10}
\end{equation*}
$$

If a fully relaxed, isotropic melt is subjected to a simple isochoric elongation, for which the principal components of the strain-rate tensor are:

$$
\begin{equation*}
\dot{\varepsilon}_{\mathrm{I}}(t)=\dot{\varepsilon}_{0} h(t) \quad \dot{\varepsilon}_{\mathrm{II}}(t)=\dot{\varepsilon}_{\mathrm{III}}(t)=-0.5 \dot{\varepsilon}_{\mathrm{I}}(t) \tag{11}
\end{equation*}
$$

where $\dot{\varepsilon}_{0}$ is a constant and $h(t)$ is the unit step function:

$$
h(t)= \begin{cases}0 & \text { for } t<0  \tag{12}\\ 1 & \text { for } t>0\end{cases}
$$

then it is assumed that the free energy of the conformational pair $i_{d}$ and $i_{o}$ varies as shown in Figure 3. It should be noted that, in this figure, the angle of rotation is considered as a reaction coordinate.

In this model a simple elongation, starting at $t=0$, will cause the following changes in the free energy in the $i$ th


Figure 2 The change in Gibbs free energy during roation about the $i$ th bond of a macromolecule


Figure 3 The conformational change in Gibbs free energy along the $i$ th reaction coordinate, $\psi_{i} ; i_{d}$ and $i_{o}$ are populated conformations, and $i^{*}$ is the transition state between them; ( $-\square$ ) undisturbed, isotropic melt; (----) anisotropic fluid after the application of a strain rate, $\dot{\varepsilon}_{0}=$ constant
subsystem:

$$
\begin{align*}
& G_{i o}(t>0)-G_{i o}(t<0)=\Delta_{t} G_{i o}\left(\dot{\varepsilon}_{i}\right)<0  \tag{13}\\
& G_{i d}(t>0)-G_{i d}(t<0)=\Delta_{t} G_{i d}\left(\dot{\varepsilon}_{i}\right)>0 \tag{14}
\end{align*}
$$

Furthermore it is assumed that:

$$
\begin{equation*}
\Delta_{t} G_{i d}\left(\dot{\varepsilon}_{\mathrm{V}}\right)=-\Delta_{t} G_{i o}\left(\dot{\varepsilon}_{\mathrm{i}}\right) \tag{15}
\end{equation*}
$$

and that the transition state $i^{*}$ does not alter its energy level during deformation:

$$
\begin{equation*}
\Delta_{t} G_{i^{*}}\left(\dot{\varepsilon}_{I}\right)=0 \tag{16}
\end{equation*}
$$

where $\Delta_{t} G$ is the transient change of free energy due to deformation. Since the state $i_{o}$ is favoured by deformation, it will be termed the oriented conformer, and the state $i_{d}$ the disoriented conformer, of the $i$ th subsystem.
It should be mentioned that Eyring ${ }^{14,15}$ has used a similar procedure for deriving an expression for nonNewtonian steady-state shear viscosity ${ }^{16}$. However, in contradistinction to the model presented here, Eyring's concept was based on the idea that stress causes an alteration of molecular translational jumping processes.

## THE STREAMING BIREFRINGENCE IN THE LINEAR VISCOELASTIC RANGE OF DEFORMATION

The change of the population density distribution in the ith subsystem due to an elongational flow field

In the range of deformation in which strains and strain rates are very small - usually called the linear viscoelastic range - $\Delta_{t} G_{i o}\left(\dot{\varepsilon}_{\mathrm{i}}\right)$ can be taken to be a linear function of $\dot{\varepsilon}_{1}=\dot{\varepsilon}_{0} h(t):$

$$
\begin{equation*}
\Delta_{t} G_{i o}\left(\dot{\varepsilon}_{0}\right)=-a_{i} \dot{\varepsilon}_{0} \tag{17}
\end{equation*}
$$

$a_{i}$ is a positive constant of proportionality with the dimension of action ( = energy $\times$ time). As the deformation proceeds, the rate constants (equations (8a) and (8b)) become:

$$
\begin{align*}
& c_{i}=k_{i} \exp \left(+a_{i} \dot{\varepsilon}_{0} / R T\right)  \tag{18a}\\
& \bar{c}_{i}=\bar{k}_{i} \exp \left(-a_{i} \dot{\varepsilon}_{0} / R T\right) \tag{18b}
\end{align*}
$$

and the population density distribution in the $i$ th
subsystem moves towards a new stationary state:

$$
\begin{equation*}
\mathrm{d} p_{i o} / \mathrm{d} t=c_{i} p_{i d}-\bar{c}_{i} p_{i o} \tag{19a}
\end{equation*}
$$

where

$$
\begin{equation*}
\mathrm{d} p_{i o} / \mathrm{d} t=-\mathrm{d} p_{i d} / \mathrm{d} t \tag{19b}
\end{equation*}
$$

Here $\mathrm{d} p_{i o} / \mathrm{d} t$ is the growth rate in the population density of state $i_{o}$, and $\mathrm{d} p_{i d} / \mathrm{d} t$ is the rate of decrease in the population density of state $i_{d}$. With the initial value $p_{i o}(t=0)=p_{\mathrm{i}}^{0}$, integration of equation (19a) gives:

$$
\begin{equation*}
\left[p_{i o}\left(c_{i}+\bar{c}_{i}\right)-p_{i} c_{i}\right] /\left[p_{i o}^{0}\left(c_{i}+\bar{c}_{i}\right)-p_{i} c_{i}\right]=\exp \left[-\left(c_{i}+\bar{c}_{i}\right) t\right] \tag{20}
\end{equation*}
$$

After rearrangement and the introduction of the expression for $p_{i o}^{0}$ (equation (10)), the time dependence of the increase of the population density of state $i_{o}$ (the molar number of $i_{d} \rightarrow i_{o}$ net transitions per unit volume) can be obtained:

$$
\begin{align*}
\Delta p_{i o}(t) & =p_{i o}(t)-p_{i o}^{0} \\
& =p_{i}\left[\left(1+\bar{c}_{i} / c_{i}\right)^{-1}-\left(1+\bar{k}_{i} / k_{i}\right)^{-1}\right]\left\{1-\exp \left[-\left(c_{i}+\bar{c}_{i}\right) t\right]\right\} \tag{21}
\end{align*}
$$

If the applied $\dot{\varepsilon}_{0}$ is sufficiently small, i.e. if

$$
\begin{equation*}
a_{i} \dot{\varepsilon}_{0} / R T \ll 1 \tag{22}
\end{equation*}
$$

then to a first approximation:

$$
\begin{equation*}
\left(1+\bar{c}_{i} / c_{i}\right)^{-1}-\left(1+\bar{k}_{i} / k_{i}\right)^{-1}=2 a_{i} \dot{\varepsilon}_{0} / R T\left[2+\left(k_{i} / \bar{k}_{i}\right)+\left(\bar{k}_{i} / k_{i}\right)\right] \tag{23a}
\end{equation*}
$$

Using the relationship $k_{i} / \bar{k}_{i}=\exp \left[-\left(G_{i o}-G_{i d}\right) / R T\right]$, equation (23a) can be simplified to:

$$
\begin{equation*}
\left(1+\bar{c}_{i} / c_{i}\right)^{-1}-\left(1+\bar{k}_{i} / k_{i}\right)^{-1}=a_{i} \dot{\varepsilon}_{0} / R T\left[1+\cosh \left(G_{i o}-G_{i d}\right) / R T\right] \tag{23b}
\end{equation*}
$$

The sum $\left(c_{i}+\bar{c}_{i}\right)$ can be written as:

$$
\begin{equation*}
c_{i}+\bar{c}_{i}=k_{i}+\bar{k}_{i}+\left(a_{i} \dot{\varepsilon}_{0} / R T\right)\left(k_{i}-\bar{k}_{i}\right) \tag{24a}
\end{equation*}
$$

Thus, if $k_{i}$ is approximately equal to $\bar{k}_{i}$, then:

$$
\begin{equation*}
c_{i}+\bar{c}_{i} \approx k_{i}+\bar{k}_{i} \tag{24b}
\end{equation*}
$$

The substitution of equations (23b) and (24b) into equation (21) gives the following expression for $\Delta p_{i o}(t)$ :

$$
\begin{equation*}
\Delta p_{i o}(t)=\frac{p_{i} a_{i} \dot{\varepsilon}_{0} / R T}{1+\cosh \left(\Delta G_{i} / R T\right)}\left\{1-\exp \left[-\left(k_{i}+\bar{k}_{i}\right) t\right]\right\} \tag{25}
\end{equation*}
$$

Here $\Delta G_{i}$ designates the usual, time-independent difference in Gibbs free energy, $G_{i o}-G_{i d}$. It should be noted that equation (25) describes a state of thermodynamic non-equilibrium, which decays as soon as the strain rate is switched off. If the end of deformation is at $t^{\prime}=0$, then the depopulation of the state $i_{o}$ is given by:

$$
\begin{equation*}
p_{i o}\left(t^{\prime}\right)=\Delta p_{i o}\left(t^{\prime}=0\right) \exp \left[-\left(k_{i}+\bar{k}_{i}\right) t^{\prime}\right]+p_{i o}^{0} \tag{26}
\end{equation*}
$$

This shows that at $t^{\prime}=1 /\left(k_{i}+\bar{k}_{i}\right)$ the departure from equilibrium will have become $1 / \mathrm{e}$ times its initial value. Evidently the constant $\left(k_{i}+k_{i}\right)$ is the reciprocal value of a relaxation time for the $i$ th subsystem:

$$
\begin{equation*}
k_{i}+\bar{k}_{i}=1 / \tau_{i}^{*} \tag{27}
\end{equation*}
$$

An analogous result has been derived previously for dielectric relaxation processes ${ }^{17}$. Substituting equations

Table 1 The five arbitrary fluids

| Fluid | (a) | (b) | (c) | (d) | (e) |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $\tau_{m}(\mathrm{~s})$ | 0.01 | 0.1 | 1 | 10 | 100 |
| $g_{m}\left(\mathrm{~N} \mathrm{~m}^{-2}\right)$ | 81500 | 45100 | 31300 | 10000 | 757 |
| $g_{m} \tau_{m} / \sum g_{m} \tau_{m}$ | 0.004 | 0.021 | 0.147 | 0.471 | 0.357 |

(8a) and (8b) into equation (27) gives:

$$
\begin{equation*}
\tau_{i}^{*}=(h / k T) \exp \left[\left(G_{i^{*}}-G_{i o}\right) / R T\right]\left[1+\exp \left(-\Delta G_{i} / R T\right)\right]^{-1} \tag{28}
\end{equation*}
$$

If $\Delta G_{i} / R T \gg 1$, equation (28) can be approximated by:

$$
\begin{equation*}
\tau_{i}^{*} \approx(h / k T) \exp \left[\left(G_{i^{*}}-G_{i o}\right) / R T\right] \tag{29}
\end{equation*}
$$

which is the usual expression for $\tau$ for an activated process in which $G_{i^{*}}-G_{i o}$ is the activation energy ${ }^{18}$.

The stress-optical coefficient of a linear viscoelastic fluid
In deriving an expression for the transient birefringence $\Delta n(t)=n_{\mathrm{I}}(t)-n_{\mathrm{II}}(t)$ that occurs upon simple elongation of the type specified by equation (11), the polarizability of the states $i_{d}$ and $i_{o}$ has to be considered. Without making any assumptions about the optical properties of the material, the mean contribution of an $i_{d}$ and an $i_{o}$ conformer to the polarizability in the principal direction I (i.e. the direction of the applied stretch) will be designated as:

$$
\begin{equation*}
\alpha_{i d \mathrm{I}} \quad \text { and } \quad \alpha_{i o \mathrm{I}} \tag{30}
\end{equation*}
$$

respectively. If $\Delta \alpha_{i I}$ is the change in molar polarizability in the direction I:

$$
\begin{equation*}
\Delta \alpha_{i I}=N_{\mathrm{A}}\left(\alpha_{i o \mathrm{I}}-\alpha_{i d \mathrm{I}}\right) \tag{31}
\end{equation*}
$$

then the complete change of volume polarizability by time $t$ is given by:

$$
\begin{equation*}
\beta_{\mathrm{I}}(t)-\beta_{\mathrm{I}}(t=0)=\sum_{i=1}^{N} \Delta p_{i o}(t) \Delta \alpha_{i \mathrm{I}} \tag{32}
\end{equation*}
$$

Here $\Delta p_{i o}(t)$ is the molar number of $i_{d} \rightarrow i_{o}$ transitions and summation is over $N$ subsystems. Transforming polarizability into refraction by means of the LorentzLorenz formula ${ }^{19}$ yields:

$$
n_{\mathrm{I}}(t)-n_{\mathrm{I}}(t=0)=\left(2 \pi / 9 n_{0}\right)\left(n_{0}^{2}+2\right)^{2}\left[\beta_{\mathrm{I}}(t)-\beta_{\mathrm{I}}(t=0)\right]
$$

Substituting equations (25), (27) and (32) into equation (33), and including the initial condition $n_{\mathrm{I}}(t=0)=n_{0}$ (which holds for any direction):

$$
\begin{align*}
n_{\mathrm{I}}(t)= & n_{0}+2 \dot{\varepsilon}_{0}\left[\pi\left(n_{0}^{2}+2\right)^{2} / 9 R T n_{0}\right] \sum_{i=1}^{N} p_{i} a_{i} \Delta \alpha_{i \mathrm{I}} \\
& \times\left[1+\cosh \left(\Delta G_{i} / R T\right)\right]^{-1}\left[1-\exp \left(-t / \tau_{i}^{*}\right)\right] \tag{34}
\end{align*}
$$

The difference $n_{1}(t)-n_{0}$, is the first component of the refractive-index deviator transformed to principal directions. For a simple, isochoric elongation of an originally isotropic fluid, the two remaining components are given by:

$$
\begin{equation*}
n_{\mathrm{DII}}(t)=n_{\mathrm{DII}}(t)=-\frac{1}{2} n_{\mathrm{DI}}(t) \tag{35}
\end{equation*}
$$

The transient birefringence $\Delta n(t)$ induced by the
strain-rate history (equation (11)) is thus:

$$
\begin{align*}
\Delta n(t)= & n_{\mathrm{DI}}(t)-n_{\mathrm{DII}}(t)=n_{\mathrm{DI}}(t)-n_{\mathrm{DII}}(t) \\
= & 3 \dot{\varepsilon}_{0}\left[\pi\left(n_{0}^{2}+2\right)^{2} / 9 R T n_{0}\right] \sum_{i=1}^{N} p_{i} a_{i} \Delta \alpha_{\mathrm{il}} \\
& \times\left[1+\cosh \left(\Delta G_{i} / R T\right)\right]^{-1}\left[1-\exp \left(-t / \tau_{i}^{*}\right)\right] \tag{36}
\end{align*}
$$

For the same strain-rate history, the corresponding tensile stress is given by the theory of linear viscoelasticity ${ }^{16,20}$ as:

$$
\begin{equation*}
\sigma(t)=3 \varepsilon_{0} \sum_{m=1}^{M} \mathrm{~g}_{m} \tau_{m}\left[1-\exp \left(-t / \tau_{m}\right)\right] \tag{37}
\end{equation*}
$$

Here $g_{m}$ is the intensity and $\tau_{m}$ is the time constant of the $m$ th relaxation process and summation is over $M$ such processes.

A comparison of equations (36) and (37) shows that $\Delta n(t)$ and $\sigma(t)$ are of exactly the same form. From equations (36) and (37) the stress-optical coefficient $\Delta n(t) / \sigma(t)$ is:

$$
\begin{equation*}
C(t)=c \sum f_{i}\left[1-\exp \left(-t / \tau_{i}^{*}\right)\right] / \sum g_{m} \tau_{m}\left[1-\exp \left(-t / \tau_{m}\right)\right] \tag{38}
\end{equation*}
$$

Here $p_{i} a_{i} \Delta \alpha_{i /} /\left(1+\cosh \left(\Delta G_{i} / R T\right)\right]$ has been abbreviated as $f_{i}$ and $\pi\left(n_{0}^{2}+2\right)^{2} / 9 R T n_{0}$ as $c$. For the sake of generality the conditions $\tau_{i}^{*} \neq \tau_{m}$ and $f_{i} \neq g_{m}$ have been set. Thus, $C$ is only a constant in two limiting cases and is otherwise a function of time. In the first limiting case, as $t \rightarrow \infty$, the steady-state value is given by:

$$
\begin{equation*}
\lim _{t \rightarrow \infty} C(t)=c \sum f_{i} / \sum g_{m} \tau_{m}=C_{\mathrm{ss}} \tag{39}
\end{equation*}
$$

Analogously, when $t$ is much smaller than the shortest relaxation time, i.e. as $t \rightarrow 0$, the short-time limit is:

$$
\begin{equation*}
\lim _{t \rightarrow 0} C(t)=c \sum\left(f_{i} / \tau_{i}^{*}\right) / \sum g_{m}=C_{\mathrm{in}} \tag{40}
\end{equation*}
$$

In order to illustrate the behaviour of the model, $C(t)$ has been evaluated for five arbitrary fluids (a)-(e), all having the same mechanical relaxation-time spectrum (Table 1). The relative weights of these processes are also shown in Table 1. For convenience, the optical relaxation times $\tau_{i}^{*}$ have been set to be identical with the mechanical ones: $\tau_{i}^{*}=\tau_{m}=10^{-3+m}, m=1,2,3,4,5$. The constant $c$ has been set to $c=0.001 \mathrm{~mol}^{-1}$. The $f_{i}$ factors of the five fluids (a)-(e) are shown in Table 2. It should be noted that $\sum f_{i}=1$ for each of these fluids and that the $f_{i}$ factors thus reflect the relative weight of the corresponding optical process.

Figure 4 shows the time dependence of $C(t)$ for each of the fluids. $C(t)$ will obviously decrease with time when the optical weighting factors of the fast processes are greater than the corresponding stress weighting factors

Table 2 The $f_{i}$ factors of the five fluids

| $\begin{aligned} & f_{i} \\ & \left(\mathrm{~J} \text { s } \mathrm{mol}^{-1}\right) \end{aligned}$ | Fluid |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | (a) | (b) | (c) | (d) | (e) |
| $f_{1}$ | 0.01 | 0.005 | 0.004 | 0.002 | 0 |
| $f_{2}$ | 0.02 | 0.01 | 0.02 | 0.02 | 0 |
| $f_{3}$ | 0.3 | 0.3 | 0.158 | 0.158 | 0.14 |
| $f_{4}$ | 0.47 | 0.47 | 0.5 | 0.5 | 0.5 |
| $f_{s}$ | 0.2 | 0.215 | 0.318 | 0.32 | 0.36 |



Figure 4 The time dependence of the stress-optical coefficient for five linear viscoelastic fluids that differ only in respect of their optical weighting factors $f_{i}$
(curve a); when the opposite is the case (curve e), $C(t)$ will increase with time. The strong optical weighting of the process with $\tau_{3}^{*}=1 \mathrm{~s}$ (curves a and b) causes a local maximum in $C(t)$ at $t \approx \tau_{3}^{*}$. It is interesting to note that in all cases $C(t)$ becomes time-independent at $t \approx 2 \tau_{\text {max }}^{*}$, i.e. at $t \approx 200 \mathrm{~s}$. Furthermore it can be seen that the most pronounced variations in $C(t)$ take place when $t<1 \mathrm{~s}$. This fact might be the reason why the time dependence of $C(t)$ has been passed over in most earlier experimental work.

The optical analogue of Trouton's rule
For a simple shear process the shear-rate history is given by:

$$
\begin{equation*}
\dot{\varepsilon}_{12}(t)=\dot{\varepsilon}_{21}(t)=\frac{1}{2} \dot{\gamma}_{0} h(t) \tag{41}
\end{equation*}
$$

where $\dot{\gamma}_{0}$ is a constant and $h(t)$ is the unit step function defined in equation (12). If the response of a linear viscoelastic fluid exposed to this history is designated $p_{21}(t)$, then Trouton's rule gives ${ }^{21}$ :

$$
\begin{equation*}
3\left[p_{21}(t) / \dot{\gamma}_{0}\right]=\left[\sigma(t) / \dot{\varepsilon}_{0}\right] \tag{42}
\end{equation*}
$$

where $p_{21}(t) / \dot{\gamma}_{0}$ is the linear viscoelastic shear viscosity, $\eta(t)$, and $\sigma(t) / \dot{\varepsilon}_{0}$ is the linear viscoelastic elongational viscosity, $\dot{\mu}(t)$. For polymer melts the numerical value of the Trouton factor between $\mu(t)$ and $\bar{\eta}(t)$ has been found to be $3^{21,22}$.
In order to calculate the optical response to a simple shear process, a transformation to principal strain-rate axes must be performed. This is accomplished by the operation:

$$
\begin{equation*}
\boldsymbol{Q} \cdot \dot{\boldsymbol{\varepsilon}} \cdot \boldsymbol{Q}^{\mathrm{T}} \tag{43}
\end{equation*}
$$

Here $\dot{\boldsymbol{\varepsilon}}$ is the strain-rate tensor, $\boldsymbol{Q}$ is an orthogonal transformation tensor, given by:

$$
\boldsymbol{Q}=\left[\begin{array}{rll}
\cos \chi & \sin \chi & 0  \tag{44}\\
-\sin \chi & \cos \chi & 0 \\
0 & 0 & 1
\end{array}\right]
$$

and $\boldsymbol{Q}^{\mathrm{T}}$ is its transpose. For the linear viscoelastic range of deformation the extinction angle $\chi$ (the angle between the direction of flow and the nearest principal axis of
refraction) is known to be equal to $45^{\circ}$. In this case the principal strain rates (eigenvalues) are:

$$
\begin{equation*}
\dot{\varepsilon}_{\mathrm{I}}=\frac{1}{2} \dot{\gamma}_{0} \quad \dot{\varepsilon}_{\mathrm{II}}=-\frac{1}{2} \dot{\gamma}_{0} \quad \dot{\varepsilon}_{\mathrm{III}}=0 \tag{45}
\end{equation*}
$$

A simple shear, with respect to the principal axes of deformation, is thus a planar elongation. The strain rate in direction I is fully compensated by the strain rate in direction II, so that the strain rate in the third direction is zero (i.e. $\dot{\varepsilon}_{111}=0$ ). For the deformation defined in equation (45) the principal components of the deviatoric part of the refractive-index tensor are thus:

$$
\begin{equation*}
n_{\mathrm{DI}}(t)=-n_{\mathrm{DII}}(t) \quad \text { and } \quad n_{\mathrm{DIII}}(t)=0 \tag{46}
\end{equation*}
$$

Applying equation (34) to the strain-rate history given by equation (45), the proposed model gives the following principal indices of refraction:

$$
\begin{align*}
n_{\mathrm{I}}(t)= & n_{0}+\dot{\gamma}_{0}\left[\pi\left(n_{0}^{2}+2\right)^{2} / 9 R T n_{0}\right] \sum_{i=1}^{N} p_{i} a_{i} \Delta \alpha_{i} \\
& \times\left[1+\cosh \left(\Delta G_{i} / R T\right)\right]^{-1}\left[1-\exp \left(-t / \tau_{i}^{*}\right)\right]  \tag{47}\\
n_{\mathrm{II}}(t)= & n_{0}-\dot{\gamma}_{0}\left[\pi\left(n_{0}^{2}+2\right)^{2} / 9 R T n_{0}\right] \sum_{i=1}^{N} p_{i} a_{i} \Delta \alpha_{i} \\
& \times\left[1+\cosh \left(\Delta G_{i} / R T\right)\right]^{-1}\left[1-\exp \left(-t / \tau_{i}^{*}\right)\right]  \tag{48}\\
n_{\mathrm{III}}(t)= & n_{0} \tag{49}
\end{align*}
$$

Back-transforming to the axes of the original coordinate system by $\boldsymbol{Q}^{\mathrm{T}} \cdot \boldsymbol{n} \cdot \boldsymbol{Q}$ gives:

$$
\boldsymbol{n}=\left[\begin{array}{lll}
n_{0} & n_{12} & 0  \tag{50}\\
n_{21} & n_{0} & 0 \\
0 & 0 & n_{0}
\end{array}\right]
$$

where $n_{12}=n_{21}=\frac{1}{2}\left[n_{\mathrm{I}}(t)-\mathrm{n}_{\mathrm{II}}(t)\right]$. From equations (36), (47), (48) and (50) it can readily be seen that, in the linear viscoelastic range of deformation, the optical analogue of Trouton's rule holds:

$$
\begin{equation*}
3\left[n_{21}(t) / \dot{\gamma}_{0}\right]=\left[\Delta n(t) / \dot{\varepsilon}_{0}\right] \tag{51}
\end{equation*}
$$

Were the stress-optical coefficient to be a constant, equation (51) could have been derived directly from Trouton's rule (equation (42)). It is therefore remarkable that equation (51) is derived from a model that postulates a time-dependent stress-optical coefficient.
It should be emphasized that all the relationships given above have been derived without making any assumptions as to the orientating units or to the structure of the material, nor has it been necessary to assume that the internal energy remains unaffected during deformation.

## STREAMING BIREFRINGENCE IN THE NONLINEAR RANGE OF DEFORMATION

The change of the population density distribution in the ith subsystem due to an enlongational flow field

So far only small deformations, in which $\dot{\varepsilon} \approx \dot{\lambda}$, have been considered. While $\dot{\varepsilon}$ is an appropriate strain-rate measure for a fluid without memory (i.e. a Newtonian liquid), $\dot{\lambda}$ is applicable to a material that never forgets its initial state (i.e. a rubber-like solid). Polymer melts are somewhere between these two limiting cases and, to account for this, equation (17) has been extended:

$$
\begin{equation*}
\Delta_{t} G_{i o}\left(\dot{\varepsilon}_{0}, t\right)=-a_{i} \dot{\varepsilon}_{0}\left(1+m_{i} \dot{\varepsilon}_{0} t\right)^{1 / m_{i}} \tag{52}
\end{equation*}
$$



Figure 5 The influence of the ratio $k / \bar{k}$ on $\Delta p_{0}(t)$ of a fluid with $a / R T=10 \mathrm{~s}, m=10, p_{d}^{0}+p_{o}^{0}=2000 P \mathrm{~mol} \mathrm{~cm}^{-3}$; the applied strain rate was $\dot{\varepsilon}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ : (a) $k=0.0010 \mathrm{~s}^{-1}, \vec{k}=0.0040 \mathrm{~s}^{-1}$, $t_{\mathrm{inf}}=32 \mathrm{~s}$; (b) $k=0.0016 \mathrm{~s}^{-1}, \bar{k}=0.0016 \mathrm{~s}^{-1}, t_{\mathrm{inf}}=24 \mathrm{~s}$; (c) $k=0.0040 \mathrm{~s}^{-1}$, $k=0.0010 \mathrm{~s}^{-1}, t_{\text {inf }}=10 \mathrm{~s}$

Here $a_{i}$ is the same constant as in equation (17) and $m_{i}$ is a dimensionless parameter, which ranges from 0 to $\infty$ and thereby characterizes the solid-fluid dualism for a given melt. This dualism becomes clear if we consider the two limiting values of equation (52):

$$
\begin{equation*}
\lim _{m_{i} \rightarrow 0} \Delta_{t} G_{i o}\left(\dot{\varepsilon}_{0}, t\right)=-a_{i} \dot{\varepsilon}_{0} \exp \left(\dot{\varepsilon}_{0} t\right)=-\left.a_{i} \dot{\lambda}_{0}(t)\right|_{\dot{\varepsilon}_{0}} \tag{53a}
\end{equation*}
$$

and:

$$
\begin{equation*}
\lim \Delta_{t} G_{i o}\left(\dot{\varepsilon}_{0}, t\right)=-a_{i} \dot{\varepsilon}_{0} \tag{53b}
\end{equation*}
$$

These equations show that $m_{i} \rightarrow 0$ characterizes a material with a perfect deformation memory, whereas $m_{i} \rightarrow \infty$ characterizes one with a completely fading memory; $m_{i}$ will therefore be called the memory-loss number for the $i$ th subsystem.

In the following the response of the $i$ th subsystem to simple elongation with $\dot{\varepsilon}_{0}=$ constant and with $\dot{\lambda}_{0}=$ constant will be derived, whereby the strain rates are considered to be large. For the Hencky strain-rate history given by equation (11), the rate constants can be obtained from equation (52):

$$
\begin{gather*}
c_{i}(t)=k_{i} \exp \left[\left(a_{i} \dot{\varepsilon}_{0} / R T\right)\left(1+m_{i} \dot{\varepsilon}_{0} t\right)^{1 / m_{i}}\right.  \tag{54a}\\
\bar{c}_{i}(t)=\bar{k}_{i} \exp \left[\left(-a_{i} \dot{\varepsilon}_{0} / R T\right)\left(1+m_{i} \dot{\varepsilon}_{0} t\right)^{1 / m_{i}}\right. \tag{54b}
\end{gather*}
$$

For the stretch-rate history:

$$
\begin{equation*}
\dot{\lambda}_{\mathrm{I}}(t)=\dot{\lambda}_{0} h(t) \quad \dot{\lambda}_{\mathrm{II}}(t)=\dot{\lambda}_{\mathrm{III}}(t)=\left[\dot{\lambda}_{\mathrm{I}}(t)\right]^{-1 / 2} \tag{55}
\end{equation*}
$$

where:

$$
\begin{equation*}
\dot{\varepsilon}_{1}(t) \mid \dot{\lambda}_{0}=1 /\left(t+1 / \dot{\lambda}_{0}\right) \tag{56}
\end{equation*}
$$

the rate functions are:

$$
\begin{align*}
& c_{i}(t)=k_{i} \exp \left[\frac{a_{i}}{R T\left(t+1 / \dot{\lambda}_{0}\right)}\left(1+\frac{m_{i} t}{t+1 / \dot{\lambda}_{0}}\right)^{1 / m_{i}}\right]  \tag{57a}\\
& \bar{c}_{i}(t)=\bar{k}_{i} \exp \left[\frac{-a_{i}}{R T\left(t+1 / \dot{\lambda}_{0}\right)}\left(1+\frac{m_{i} t}{t+1 / \dot{\lambda}_{0}}\right)^{1 / m_{i}}\right] \tag{57b}
\end{align*}
$$

Since $c_{i}$ and $\bar{c}_{i}$ are now functions of time for both strain-rate histories, the change in the population density:

$$
\begin{equation*}
\mathrm{d} p_{i o} / \mathrm{d} t=c_{i}(t) p_{i}-\left[c_{i}(t)+\bar{c}_{i}(t)\right] p_{i o}(t) \tag{58}
\end{equation*}
$$

and its integrated form ${ }^{23}$ :

$$
\begin{align*}
p_{i o}(t)= & p_{i o}^{0} \exp \left(-\int_{0}^{t}\left(c_{i}+\bar{c}_{i}\right) \mathrm{d} t^{\prime}\right) \\
& +p_{i} \exp \left(-\int_{0}^{t}\left(c_{i}+\bar{c}_{i}\right) \mathrm{d} t^{\prime}\right) \int_{0}^{t} c_{i} \exp \left(\int_{0}^{t^{\prime}}\left(c_{i}+\bar{c}_{i}\right) \mathrm{d} t^{\prime \prime}\right) \mathrm{d} t^{\prime} \tag{59}
\end{align*}
$$

both have to be computed numerically. From equations (58) and (59) and the expressions above for the rate functions, it can be seen that the shift of the population density distribution in the $i$ th subsystem due to deformation depends on five characteristic quantities: the total population density $p_{i}=p_{i d}+p_{i o}$, the two rate constants $k_{i}$ and $\bar{k}_{i}$, the action constant $a_{i}$ and the memory-loss number $m_{i}$.

## Simulated experimental resultsfor model fuids with $\mathrm{N}=1$

The fluids to be considered here contain only one subsystem and, since $N=1$, the index $i$ is omitted. Under the influence of a strain-rate history of the type defined in equation (11) or (55), the transient birefringence will be given by the equations (31), (33) and (35):

$$
\begin{equation*}
\Delta n(t)=A \Delta p_{o}(t) \tag{60}
\end{equation*}
$$

Here $A=\left(\pi / 3 n_{0}\right)\left(n_{0}^{2}+2\right)^{2} \Delta \alpha_{1}$ and $\Delta p_{o}(t)=p_{o}(t)-p_{o}^{0}$ is the molar number of $d \rightarrow o$ net transitions that will have occurred in a unit volume by time $t$. The subsequently given $\Delta p_{o}$ versus $t$ plots have been calculated by numerical integration of equation (58). In these figures $\Delta p_{o}$ is plotted in units of a constant scaling factor $P$. Thus each of these curves represents the transient birefringence $\Delta n(t)$ of the corresponding fluid in units of the constant product $P A$.

Figures 5 and 6 demonstrate the effect of the ratio $k / \bar{k}=p_{o}^{0} / p_{d}^{0}$ on $\Delta p_{o}(t)$ for a fluid with $a / R T=10 \mathrm{~s}, m=10$ and $p_{d}^{0}+p_{o}^{0}=2000 P \mathrm{~mol} \mathrm{~cm}^{-3}$ for both kinds of elongation, i.e. with $\dot{\varepsilon}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ and $\dot{\lambda}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$. In all cases $\Delta p_{o}(t)$ and $\Delta n(t)$ move towards a steady state; however, owing to the kinematical difference between $\dot{\varepsilon}_{0}=$ constant and $\dot{\lambda}_{0}=$ constant, the shape of the curves for the two kinds of elongation are very different. With $\dot{\varepsilon}_{0}=$ constant, the rate $\mathrm{d} \Delta p_{o} / \mathrm{d} t$ increases until, after a comparatively short time, it begins to decrease, approaching zero as $t \rightarrow \infty$. With $\dot{\lambda}_{0}=$ constant, $\mathrm{d} \Delta p_{o} / \mathrm{d} t$


Figure 6 The influence of the ratio $k / \bar{k}$ on $\Delta p_{o}(t)$ of a fluid with $a / R T=10 \mathrm{~s}, m=10, p_{d}^{0}+p_{o}^{0}=2000 P \mathrm{~mol} \mathrm{~cm}^{-3}$; the applied stretch rate was $\dot{\lambda}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ : (a) $k=0.0010 \mathrm{~s}^{-1}, k=0.0040 \mathrm{~s}^{-1}$, $t_{\max }=107 \mathrm{~s}, t_{\text {inf }}=248 \mathrm{~s}$; (b) $k=0.0016 \mathrm{~s}^{-1}, \bar{k}=0.0016 \mathrm{~s}^{-1}, t_{\max }=145 \mathrm{~s}$, $t_{\text {inf }}=348 \mathrm{~s} ;(\mathrm{c}) k=0.0040 \mathrm{~s}^{-1}, \bar{k}=0.0010 \mathrm{~s}^{-1}, t_{\text {max }}=102 \mathrm{~s}, t_{\text {inf }}=238 \mathrm{~s}$


Figure 7 The effect of the relaxation time $\tau^{*}$ on $\Delta p_{o}(t)$ for a fluid with $a / R T=10 \mathrm{~s}, m=10$; strain rate $\dot{\varepsilon}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ : (a) $\tau^{*}=0.0313 \mathrm{~s}$, no point of inflection; (b) $\tau^{*}=0.3125 \mathrm{~s}$, no point of inflection; (c) $\tau^{*}=3.125 \mathrm{~s}$, no point of inflection; (d) $\tau^{*}=31.25 \mathrm{~s}, t_{\mathrm{inf}}=1.65 \mathrm{~s}$; (e) $\tau^{*}=312.5 \mathrm{~s}, t_{\mathrm{inf}}=23.0 \mathrm{~s}$


Figure 8 The effect of $\tau^{*}$ on $\Delta p_{0}(t)$ for a fluid with $a / R T=10 \mathrm{~s}, m=10$; stretch rate $\dot{\lambda}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ : (a) $\tau^{*}=0.0313 \mathrm{~s}, t_{\max }=0.14 \mathrm{~s}$, $t_{\text {inf }}=3.5 \mathrm{~s} ;$ (b) $\tau^{*}=0.3125 \mathrm{~s}, t_{\text {max }}=0.8 \mathrm{~s}, t_{\text {inf }}=3.5 \mathrm{~s} ;$ (c) $\tau^{*}=3.1250 \mathrm{~s}$, $t_{\text {max }}=5.7 \mathrm{~s}, t_{\text {inf }}=11.5 \mathrm{~s} ;$ (d) $\tau^{*}=31.250 \mathrm{~s}, \quad t_{\text {max }}=29.0 \mathrm{~s}, t_{\mathrm{inf}}=59.8 \mathrm{~s} ;$ (e) $\tau^{*}=312.5 \mathrm{~s}, t_{\text {max }}=145 \mathrm{~s}, t_{\text {inf }}=350 \mathrm{~s}$
decreases right from the beginning, becomes zero, further decreases ( $\mathrm{d} \Delta p_{o} / \mathrm{d} t<0$ ) until there occurs a point of inflection, and finally it increases to approach zero as $t \rightarrow \infty$. In both cases (i.e. with either $\dot{\varepsilon}_{0}=$ constant or $\dot{\lambda}_{0}=$ constant), the general shape of the $\Delta p_{o}$ versus $t$ curve is independent of the value of the ratio $k / k$. Therefore it will be assumed that

$$
p_{d}^{0}=p_{o}^{0}=1000 P \quad \text { and } \quad k=\bar{k}
$$

so that (by means of equation (27)):

$$
\tau^{*}=(2 k)^{-1}=(2 \bar{k})^{-1}
$$

Figures 7 and 8 show how the orientation, $\Delta p_{o}(t)$, is influenced by the relaxation time $\tau^{*}$. Here again it is assumed that $a / R T=10 \mathrm{~s}, m=10$ and $\dot{\varepsilon}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ or $\dot{\lambda}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$, respectively. As can be seen, the smaller the value of $\tau^{*}$ the larger is the initial rate, $\mathrm{d} \Delta p_{o} / \mathrm{d} t$, and the shorter is the time taken for the system to reach its final steady state. It is interesting to note that the curves of Figure 7, in which $\tau^{*} \leqslant 3.1 \mathrm{~s}$, do not exhibit an inflection point. With $\dot{\lambda}_{0}=$ constant, increasing the value of $\tau^{*}$ shifts $t_{\max }$ and $t_{\text {inf }}$, the times at which $\Delta n(t)$
shows a maximum or inflection point, respectively, to larger values.

Figures 9,10 and 11 show how the orientation $\Delta p_{o}(t)$ depends on the parameters $a$ and $m$ for an elongation with $\dot{\varepsilon}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$. Here $m$ has been varied from $10^{-6}$ to $10^{6}, a / R T$ has been given the values 10,20 and


Figure 9 The effect of $m$ on $\Delta p_{0}(t)$ for a fluid with $a / R T=10 \mathrm{~s}$, $\tau^{*}=312.5 \mathrm{~s}$; strain rate $\dot{\varepsilon}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ : (a) $m=10^{-6}, t_{\mathrm{inf}}=17.8 \mathrm{~s}$; (b) $m=0.1, t_{\mathrm{inf}}=19.0 \mathrm{~s}$; (c) $m=0.5, t_{\mathrm{inf}}=24.5 \mathrm{~s}$; (d) $m=1.0, t_{\mathrm{inf}}=31.5 \mathrm{~s}$; (e) $m=2.0, t_{\mathrm{inf}}=41.0 \mathrm{~s}$; (f) $m=10, t_{\mathrm{inf}}=23.1 \mathrm{~s}$; (g) $m=10^{6}$, no inflection point


Figure 10 The effect of $m$ on $\Delta p_{o}(t)$ for a fluid with $a / R T=20 \mathrm{~s}$, $\tau^{*}=312.5 \mathrm{~s}$; strain rate $\dot{\varepsilon}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ : (a) $m=10^{-6}, t_{\mathrm{inf}}=10.8 \mathrm{~s}$; (b) $m=0.5, t_{\mathrm{inf}}=12.7 \mathrm{~s}$; (c) $m=2.0, t_{\mathrm{inf}}=16.2 \mathrm{~s}$; (d) $m=20, t_{\mathrm{inf}}=6.9 \mathrm{~s}$; (e) $m=10^{-6}$, no inflection point


Figure 11 The effect of $m$ on $\Delta p_{o}(t)$ for a fluid with $a / R T=60 \mathrm{~s}$, $\tau^{*}=312.5 \mathrm{~s}$; strain rate $\dot{\varepsilon}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ : (a) $m=10^{-6}$; (b) $m=60$; (c) $m=10^{6}$


Figure 12 The effect of $m$ on $\Delta p_{o}(t)$ for a fluid with $a / R T=10 \mathrm{~s}$, $\tau^{*}=312.5 \mathrm{~s}$; stretch rate $\dot{\lambda}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ : (a) $m=10^{-6}, t_{\max }=176 \mathrm{~s}$, $t_{\mathrm{inf}}=402 \mathrm{~s}$; (b) $m=0.1, t_{\max }=175 \mathrm{~s}, t_{\mathrm{inf}}=396 \mathrm{~s}$; (c) $m=1.0, t_{\max }=162 \mathrm{~s}$, $t_{\mathrm{inf}}=375 \mathrm{~s}$; (d) $m=10, t_{\max }=145 \mathrm{~s}, t_{\mathrm{inf}}=350 \mathrm{~s}$; (e) $m=10^{6}, t_{\max }=140 \mathrm{~s}$, $t_{i n f}=340 \mathrm{~s}$


Figure 13 The effect of $m$ on $\Delta p_{o}(t)$ for a fluid with $a / R T=20 \mathrm{~s}$, $\tau^{*}=312.5 \mathrm{~s}$; stretch rate $\dot{\lambda}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ : (a) $m=10^{-6}, t_{\max }=155 \mathrm{~s}$, $t_{\text {inf }}=371 \mathrm{~s}$; (b) $m=0.1, t_{\text {max }}=154 \mathrm{~s}, t_{\text {inf }}=368 \mathrm{~s}$; (c) $m=1.0, t_{\text {max }}=143 \mathrm{~s}$, $t_{\text {inf }}=350 \mathrm{~s} ;$ (d) $m=10, t_{\max }=130 \mathrm{~s}, t_{\text {inf }}=325 \mathrm{~s}$; (e) $m=10^{6}, t_{\text {max }}=127 \mathrm{~s}$, $t_{\mathrm{inf}}=321 \mathrm{~s}$

60 s , respectively, and the relaxation time has been taken as $\tau^{*}=312.5 \mathrm{~s}$ (i.e. $k=\bar{k}=0.0016 \mathrm{~s}^{-1}$ ). These figures demonstrate that, first, the initial rate $\mathrm{d} \Delta p_{o} / \mathrm{d} t$ depends only on the action constant $a$, and, secondly, the effect of the memory-loss number $m$ decreases as the value of $a$ increases. At $a / R T=10 \mathrm{~s}$ (Figure 9) the course of the transient birefringence $\Delta n(t)$ is much more susceptible to the actual value of $m$ than at $a / R T=60 \mathrm{~s}$ (Figure 11). When $a$ is large and $m$ is small, the birefringence increases maximally and reaches saturation (at 1000 PA ) after a minimum deformation time and, furthermore, there is no point of inflection if $a / R T=60 \mathrm{~s}$ or if $m=10^{6}$.

Figures 12, 13 and 14, in which $\dot{\lambda}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$, show the counterparts to the preceding examples. A comparison between the two sets of figures shows that the influence of the parameters $a$ and $m$ is much more pronounced for elongations with $\dot{\varepsilon}_{0}=$ constant than for those with $\dot{\lambda}_{0}=$ constant. In all of the curves in Figures 12-14 the value of $\Delta p_{o}\left(t_{\text {max }}\right)$ increases as $a / R T$ increases or as $m$ decreases. For either $a / R T=10 \mathrm{~s}$ (Figure 12) or $a / R T=20 \mathrm{~s}$ (Figure 13) the maximum shifts to shorter times as $m$ increases; however, for $a / R T=60 \mathrm{~s}$ (Figure 14), increasing $m$ shifts the maximum to longer times. The
time required to reach a point of inflection is shortened as $a$ or $m$ increases. For elongations with $\dot{\lambda}_{0}=$ constant the initial rate $\mathrm{d} \Delta p_{o} / \mathrm{d} t$ is independent of the actual value of $m$, just as has been the case with $\dot{\varepsilon}_{0}=$ constant.

The effect of the applied strain rate ( $\dot{\varepsilon}_{0}=$ constant), and the stretch rate ( $\dot{\lambda}_{0}=$ constant $)$ on the orientation of a fluid with $a / R T=10 \mathrm{~s}, m=10$ and $\tau^{*}=312.5 \mathrm{~s}$ (i.e. $k=\bar{k}=0.0016 s^{-1}$ ) are shown in Figures 15 and 16. Increasing the value of either $\dot{\varepsilon}_{0}$, or $\dot{\lambda}_{0}$ increases the initial slope of the curve and shifts $t_{\max }$ and $t_{\mathrm{inf}}$ to smaller values. The change of the initial slope is consistent with the results in an earlier section. For sufficiently small $t$ it follows from equation (36) that $\Delta n(t)=3 \dot{\varepsilon}_{0} c \sum\left(f_{i} / \tau_{i}^{*}\right) t$, where $. c=\pi\left(n_{0}^{2}+2\right)^{2} / 9 R T n_{0}$ and $f_{i}=p_{i} a_{i} \Delta \alpha_{i /} /\left[1+\cosh \left(\Delta G_{i} / R T\right)\right]$.

To conclude this section it should be mentioned that a great variety of orientational behaviour, i.e. many different time courses of $\Delta n(t)$, can be modelled by superimposing various subsystems, the contribution of each being weighted differently.

## SUMMARY

A microscopic orientation model has been developed, using the methods of chemical kinetics, to describe the


Figure 14 The effect of $m$ on $\Delta p_{o}(t)$ for a fluid with $a / R T=60 \mathrm{~s}$, $\tau^{*}=312.5 \mathrm{~s}$; stretch rate $\dot{\lambda}_{0}=$ constant $=0.1 \mathrm{~s}^{-1}$ : (a) $m=10^{-6}, t_{\max }=18 \mathrm{~s}$, $t_{\text {inf }}=276 \mathrm{~s} ;$ (b) $m=0.1, t_{\text {max }}=18 \mathrm{~s}, t_{\text {inf }}=269 \mathrm{~s}$; (c) $m=1.0, t_{\text {max }}=20 \mathrm{~s}$, $t_{\text {inf }}=230 \mathrm{~s}$; (d) $m=10, t_{\text {max }}=23 \mathrm{~s}, t_{\text {inf }}=180 \mathrm{~s}$; (e) $m=10^{6}, t_{\text {max }}=27 \mathrm{~s}$, $t_{\mathrm{inf}}=164 \mathrm{~s}$


Figure 15 The influence of the magnitude of the strain rate $\dot{\varepsilon}_{0}$ on $\Delta p_{0}(t)$ for a fluid with $a / R T=10 \mathrm{~s}, m=10$ and $\tau^{*}=312.5 \mathrm{~s}$ : (a) $\dot{\varepsilon}_{0}=0.6 \mathrm{~s}^{-1}$, $t_{\mathrm{inf}}=0.3 \mathrm{~s} ; \quad$ (b) $\dot{\varepsilon}_{0}=0.5 \mathrm{~s}^{-1}, t_{\mathrm{inf}}=0.8 \mathrm{~s} ; ~\left(\right.$ c) $\dot{\varepsilon}_{0}=0.4 \mathrm{~s}^{-1}, t_{\mathrm{inf}}=1.9 \mathrm{~s} ;$ (d) $\dot{\varepsilon}_{0}=0.2 \mathrm{~s}^{-1}, t_{\mathrm{inf}}=10.7 \mathrm{~s}$; (e) $\dot{\varepsilon}_{0}=0.1 \mathrm{~s}^{-1}, t_{\text {inf }}=23.0 \mathrm{~s}$; (f) $\dot{\varepsilon}_{0}=0.05 \mathrm{~s}^{-1}$, $t_{\text {inf }}=29.4 \mathrm{~s}$


Figure 16 The influence of the magnitude of the stretch rate $\dot{\lambda}_{0}$ on $\Delta p_{0}(t)$ for a fluid with $a / R T=10 \mathrm{~s} ; m=10, \tau^{*}=312.5 \mathrm{~s}$ : (a) $\dot{\lambda}_{0}=0.6 \mathrm{~s}^{-1}$, $t_{\text {max }}=12 \mathrm{~s}, t_{\text {inf }}=84 \mathrm{~s}$; (b) $\dot{\lambda}_{0}=0.5 \mathrm{~s}^{-1}, t_{\max }=30 \mathrm{~s}, t_{\text {inf }}=130 \mathrm{~s}$; (c) $\dot{\lambda}_{0}=0.4 \mathrm{~s}^{-1}$, $t_{\text {max }}=53 \mathrm{~s}, t_{\text {inf }}=182 \mathrm{~s} ;$ (d) $\dot{\lambda}_{0}=0.2 \mathrm{~s}^{-1}, t_{\text {max }}=108 \mathrm{~s}, t_{\text {inf }}=286 \mathrm{~s}$; (e) $\lambda_{0}=0.1 \mathrm{~s}^{-1}, t_{\text {max }}=145 \mathrm{~s}, t_{\text {inf }}=348 \mathrm{~s} ;$ (f) $\lambda_{0}=0.05 \mathrm{~s}^{-1}, t_{\text {max }}=181 \mathrm{~s}, t_{\text {inf }}=410 \mathrm{~s}$
rheo-optical behaviour of polymer melts. The model is based on the assumption that the macromolecules in a polymer melt are capable of continuously changing their conformation. It is further assumed that those conformational changes which contribute to the orientational behaviour of the melt take place at bonds that have an asymmetrical rotational potential that has only two free-energy minima. Only the conformers that correspond to these minima are considered to be populated, while all other conformational states are assumed to be quasi-empty. The pair of conformers generated by rotation at a specific bond is treated as an independent subsystem.
In the undeformed, thermally equilibrated melt, the relative population densities of the two conformers of a subsystem depend on the Gibbs free energies of the corresponding states and on the temperature. In the presence of an elongational flow field, the Gibbs free energy of one conformer decreases by a specific amount, while that of the other increases by the same amount. In the range of small deformations the shift of the population density distribution in the $i$ th subsystem produced in this way depends on the action constant $a_{i}$, and on the applied strain rate. In the non-linear range of deformation, an additional constant $m_{i}$, the memory-loss number, is important. The transition from linear to non-linear behaviour is governed by the magnitude of the strain rate, the duration of the deformation and the value of the constant $m_{i}$.
Each subsystem is characterized by: a total population density $p_{i}=p_{i d}+p_{i o}$, two rate constants $k_{i}$ and $k_{i}$, an action constant $a_{i}$ and, in the non-linear viscoelastic range, a memory-loss number $m_{i}$.
The main predictions of the model can be summarized as follows:

1. The time dependence of the birefringence in the linear viscoelastic range of deformation can be represented (for simple elongation as well as for simple shear) by an expression that is analogous to the corresponding stress expression known from the theory of linear viscoelasticity. However, in the present case, summation is not over $M$ spring dashpot elements but over $N$ orientational processes.
2. If the theory of linear viscoelasticity is accepted as an adequate description of the rheological behaviour of
polymer melts under small strain and small strain rate, then it must be concluded that the so-called stress-optical rule, $\Delta n / \sigma=$ constant, is not valid even in the linear viscoelastic range of deformation. The ratio $C(t)$ is predicted to have a constant value, $C_{\text {in }}$, within short deformation times but a different constant value, $C_{\text {ss }}$, as $t \rightarrow \infty$.
3. In addition to the well known mechanical relaxationtime spectrum $\left\{g_{\mathrm{m}}, \tau_{\mathrm{m}}\right\}$ there is a stress-optical relaxationtime spectrum $\left\{\left(c f_{i} / \tau_{i}^{*}\right), \tau_{i}^{*}\right\}$.
4. In the linear viscoelastic range of deformation, the optical analogue of Trouton's rule holds, while the stress-optical rule is invalid.
5. In the non-linear range of deformation $\Delta n(t)$ is predicted to depend strongly on the applied deformation history. For two elongations, carried out with $\dot{\varepsilon}=$ constant and $\dot{\lambda}=$ constant, respectively, $\dot{\varepsilon}$ and $\dot{\lambda}$ both of the same magnitude, the corresponding $\Delta n(t)$ curves have a common initial part of short duration (in the linear viscoelastic range) and then they diverge to different steady state values. For the elongation with $\dot{\varepsilon}=$ constant, $\Delta n(t)$ increases steadily, for the one with $\dot{\lambda}=$ constant, $\Delta n(t)$ passes a maximum and then decreases to a final value which is much smaller than $\Delta n_{\max }$ if $\dot{\lambda}$ is big.

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## LIST OF SYMBOLS





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